

(unclassified until filled)

ROUTING SLIP

SUSPENSE DATE	HOUR
8 Nov 76	

FROM	DOD	TO	DIRNSA
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SUBJECT OR BRIEF OF CORRESPONDENCE (include listing of incle.)

SPECIAL WARNINGS TO MARINERS

Declassified and approved for release by NSA on 07-17-2012 pursuant to E.O. 13526

DATE OF CORRESP.	FILE OR SERIAL NO.	REG. NUMBER	NO. COPIES	DATE RECEIVED
14 Oct 76	DOD 503D.XX	2265176	1	26 Oct 76

[illegible]

I. ACTION REQUIRED (*complete items 1 thru 3*)

1. PREPARED BY <i>James D. Smith</i> JAMES D. SMITH, D/CH D4	EXT. 3083
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2. TYPE

☐ INITIAL LETTER ☒ FINAL REPLY
☐ INTERIM REPLY ☐ OTHER (specify in "REMARKS" below)

3. TO

ASD(ISA), ATTN: CDR E. J. Melanson, Jr., USN
Policy Plans & National Security

SERIAL

N 142.5

REMARKS (if additional space is necessary use reverse) Council Affairs

ROUTING LEGEND		
IN	FOR	OUT
5	ACTION	1
	COMMENT	
	CONCURRENCE	
1-4	INFORMATION	2
	EDITING	
	SIGNATURE	3
	DISPATCH	4
1-2	FILE	5
	RETAIN	

DECLASSIFY UPON REMOVAL
OF INCLOSURES

ROUTER (initial) T.A.B.	VERIFIED (initial)	DATE 26 Oct. 76	ROUTING SLIP NUMBER 2980
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~~CONFIDENTIAL~~Director, National
Security Agency

DOD DIRECTIVES SYSTEM COORDINATION AND CONTROL RECORD

TYPE OF ISSUANCE Directive	SUBJECT NUMBER 5030.XX	SUBJECT CAPTION Special Warnings to Mariners		
FROM: Signature and Title of OSD Organization Head or Authorized Designee <i>James H. Poir</i> Asst. SecDef (ISA) Assistant Secretary of International Security Affairs		CONTACT OFFICER (Typed Name and Extension) Cdr Edward J. Melanson, Jr. x-77840	DATE FORWARDED 10/14/76	RETURN NO LATER THAN 11/15/76
REMARKS Draft DOD Directive on Special Warnings to Mariners has been coordinated at the Working Group level.			FOR USE OF ORIGINATING OFFICE ONLY (Check appropriate box(es) below)	
			1. THE CLASSIFICATIONS (See DoD Regulation 5200.1-R) ARE: <input type="checkbox"/> TOP SECRET <input type="checkbox"/> SECRET <input checked="" type="checkbox"/> CONFIDENTIAL	
			2. THE DOCUMENT IS: <input type="checkbox"/> FOR OFFICIAL USE ONLY <input type="checkbox"/> UNCLASSIFIED	
			3. THE DOCUMENT WILL BE PUBLISHED IN THE FEDERAL REGISTER (See DOD Directive 5400.7) <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
			4. THE DOCUMENT WILL BE PUBLISHED AS A RULEMAKING DOCUMENT IN THE FR. (See DOD Directive 5400.9) <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
			5. SIGNATURE OF CONTACT OFFICER <i>[Signature]</i>	
TO ADDRESSEES LISTED BELOW The attached draft is forwarded for review and comment. 1. If the draft as written is approved, please indicate concurrence by signing in the appropriate space below. (If signed by a "designee", please show title.) 2. If changes are suggested, please attach a separate memorandum covering the suggestions, and so indicate in the appropriate space below.				
	DIR DEF RESEARCH AND ENGINEERING	X	SECY OF THE ARMY	
X	ASST SEC DEF (Comptroller)	X	SECY OF THE NAVY	
	ASST SEC DEF (Health and Environment)	X	SECY OF THE AIR FORCE	
	ASST SEC DEF (Installations and Logistics)	X	CHAIRMAN, JOINT CHIEFS OF STAFF	
X	ASST SEC DEF (Intelligence)		DIR, DEFENSE ADVANCED RESEARCH PROJECTS AGENCY	
	ASST SEC DEF (International Security Affairs)		DIR, DEFENSE CIVIL PREPAREDNESS AGENCY	
	ASST SEC DEF (Legislative Affairs)		DIR, DEFENSE COMMUNICATIONS AGENCY	
	ASST SEC DEF (Manpower and Reserve Affairs)		DIR, DEFENSE CONTRACT AUDIT AGENCY	
	ASST SEC DEF (Program Analysis and Evaluation)	X	DIR, DEFENSE INTELLIGENCE AGENCY	
	ASST SEC DEF (Public Affairs)		DIR, DEFENSE INVESTIGATIVE SERVICE	
	GENERAL COUNSEL, DOD	X	DIR, DEFENSE MAPPING AGENCY	
	SPEC ASST TO SEC DEF AND DEP SEC DEF		DIR, DEFENSE NUCLEAR AGENCY	
	ASST TO SEC DEF AND DEP SEC DEF		DIR, DEFENSE SECURITY ASSISTANCE AGENCY	
	ASST TO THE SEC DEF (Atomic Energy)		DIR, DEFENSE SUPPLY AGENCY	
	ASST TO SEC DEF FOR SALT		DIR, DOD SALT TASK FORCE	
	SEC DEF REP TO MBFR NEGOTIATIONS	X	DIR, NATIONAL SECURITY AGENCY	
	DEFENSE ADVISOR, US MISSION TO NATO		DIR, TELECOMMUNICATIONS & COMMAND & CONTROL SYSTEMS	

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503D.XX
ASD(ISA)

DEPARTMENT OF DEFENSE DIRECTIVE

Subject: Special Warnings to Mariners

Reference (a): Memorandum of Understanding Between the Departments of State, Defense, Commerce, and the Central Intelligence Agency with Respect to Special Warnings to Mariners (enclosure 1)

I. PURPOSE

~~(c)~~ This Directive provides guidance, policy and responsibilities concerning the warning of US mariners of potential political/military hazards affecting US shipping. It implements the responsibilities contained in reference (a).

II. APPLICABILITY AND SCOPE

- A. ~~(c)~~ This directive applies to the Organization of the Joint Chiefs of Staff, Department of the Navy, Defense Intelligence Agency, National Security Agency, Defense Mapping Agency, and unified and specified commands.
- B. ~~(c)~~ The scope is concerned with timely alerting of US mariners of potential political/military incidents jeopardizing US shipping. For purpose of this directive, warnings of such incidents which affects the safety of US shipping are designated as "Special Warnings".
- C. ~~(c)~~ The provisions of this directive shall not abrogate the policies, procedures and responsibilities of the DOD components for the administration and support of the Notice to Mariners System.

III. DEFINITIONS

- A. ~~(c)~~ US Mariners. Crewmembers of merchant ships, research vessels, offshore oil rigs, fishing boats and yachts, as well

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as personnel on board US naval ships.

- B. ~~(C)~~ Notice to Mariners. A weekly publication originated by the Defense Mapping Agency Hydrographic Center (DMAHC) and mailed to all US ships, advising mariners of important matters affecting navigation safety, including new hydrographic discoveries, changes in channels and navigation aids, etc. It is primarily designed to simplify corrections of charts and nautical publications aboard ships.
- C. ~~(C)~~ Radio Navigation Warnings. Urgent information, promulgated by DMAHC, which affects the safety of navigation, such as dangerous wrecks, information concerning aids to navigation and any other imminent danger to marine navigation. These warnings are broadcast twice daily by radiotelegraph to merchant ships and radioteletype to US naval ships via US Navy and Coast Guard radio stations.
- D. ~~(C)~~ HYDROLANT/HYDROPAC. Radio navigation warnings, originated by DMAHC for the Atlantic Ocean, Mediterranean Sea, Caribbean Sea, Gulf of Mexico and contiguous areas (HYDROLANT) and Pacific Ocean, Indian Ocean and contiguous areas (HYDROPAC).
- E. ~~(C)~~ Special Warning. A limited series of urgent warnings, originated by the Department of State and disseminated by DMAHC, which promulgates official government proclamations affecting navigation and political/military incidents that could develop into direct threats to the safety of US mariners. Special warnings are disseminated by the same method and means as HYDROLANT/HYDROPACs.

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- A. ~~(C)~~ The issuance of a special warning message to mariners involves timely receipt and dissemination of intelligence to the appropriate decision maker who can evaluate the intelligence in the proper context and decide whether or not to initiate a special warning.
- B. ~~(C)~~ The Office of Maritime Affairs within the Department of State is the governmental office which acts upon information received from a variety of sources to determine whether or not a special warning message should be issued.
- C. ~~(C)~~ The process of disseminating intelligence within the Department of State is handled by the Bureau of Intelligence and Research (INR). (The Office of Maritime Affairs receives most of its intelligence from that source.) In addition, the Department of State Operations Center, Foreign Broadcast Information Service, DOS Geographic and Functional Desks, and other agencies both public and private inform the Office of Maritime Affairs of events it believes are of an interest to maritime matters. Since the Office of Maritime Affairs relies to a large extent on outside sources of information, it can only act once the information is received.
- D. ~~(C)~~ In acting upon this information, the Office of Maritime Affairs has the option of drafting a special warning message, approving the text of a special warning drafted by another agency, or determining that circumstances do not justify the issuance of a special warning message. If the latter determination is made, the Office of Maritime Affairs will notify interested

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parties. In such instances, the Office of Maritime Affairs or any government agency may recommend to the Defense Mapping Agency Hydrographic Center (DMAHC) that the information be disseminated in a HYDROLANT/HYDROPAC or Notice to Mariners as appropriate.

V. CRITERIA

- A. ~~(C)~~ The Department of State considers a special warning to be more political in nature than a normal navigation warning and would include, but not limited to, such things as declaration of hostilities, contraband orders, territorial sea claims, and certain vessels seizures. A special warning is normally reserved for a situation where it is necessary to disseminate to both US and foreign mariners, who may be copying the US broadcast, information which is both political and maritime in nature and which may affect US shipping and US foreign policy. In evaluating the situation, the Office of Maritime Affairs takes into consideration such factors as the diplomatic relations of the concerned nation and patterns of hostile acts. Throughout this decision process the paramount criterion is whether a threat exists to the safety of US mariners. A special warning is exactly that -- SPECIAL and not every political/military incident will be severe enough to cause a special warning to be issued.
- B. ~~(C)~~ In those instances where the decision is made not to broadcast the information as a special warning but as a regular HYDROLANT or HYDROPAC, such political/military oriented messages will receive the same priority handling and disseminated as a special warning.

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- C. ~~(C)~~ Radio navigation and special warnings are broadcast worldwide and capable of being received by all mariners both US and foreign. Information disseminated as a warning to mariners must be unclassified. Intelligence source material therefore must be sanitized before transmission.

1. General Responsibilities

- a. ~~(C)~~ ~~ALL~~ DOD components will make promulgate ^{available} internal procedures whereby information which is germane to the safety of US mariners ~~is made available~~ to the Department of State by the most expeditious means. In the case of intelligence source material, information should be communicated to the Department of State, Bureau of Intelligence and Research (INR). For all other (nonintelligence) source material the Department of State Operations Center should be contacted. The National Operations Intelligence Watch Officers Net (NOIWN) should be utilized whenever circumstances permit.
- b. ~~(C)~~ Record communications forwarding information within the context of the above will be prefaced with "Mariner Warning Information" in order to aid in alerting interested parties.
- c. ~~(C)~~ Provide the Department of State, Office of Maritime Affairs, on a continuing basis, the names, codes and telephone numbers of those individuals designated within their respective agency as the point of contact for special warning matters. The Office of Maritime Affairs, Department of State, will coordinate the interagency

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flow of information pertaining to special warnings.

- d. ~~(C)~~ DOD components are encouraged to exchange their internal procedures instructions.

DELETE

2. Specific

- a. ~~(C)~~ All DOD components to which this directive applies shall issue internal regulations and procedures governing their participation and conduct in activities covered by this directive.
- b. ~~(C)~~ A copy of this regulation shall be submitted through appropriate channels to the ASD(ISA) within 90 days.
- c. ~~(C)~~ DOD components are encouraged to exchange their regulations and pertinent internal procedures and instructions.

DELETE ALL
TEXT BEYOND.

d. Organization of the Joint Chiefs of Staff

- (1) ~~(C)~~ Insure that the Deputy Director for Operations, National Military Command Center (DDO/NMCC), JCS, expeditiously disseminates to the Department of State, Bureau of Intelligence and Research (INR) and/or State Operations Center, as appropriate, any information that may warrant the issuance of a special warning.
- (2) ~~(C)~~ Insure that overseas military commands are advised of relevant special warnings when issued.
- (3) ~~(C)~~ Request the Defense Mapping Agency Hydrographic Center to issue a Radio Navigational Warning (HYDROLANT/HYDROPAC) whenever the situation so dictates and the Department of State considers

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that the circumstances do not justify the issuance of a special warning.

e. Office of the Assistant Secretary of Defense/Director of Defense Intelligence

- (1) ~~(C)~~ Insure that the Director, Defense Intelligence Agency and Director, National Security Agency expeditiously disseminates to the Department of State, Bureau of Intelligence and Research (INR) and/or State Department Operations Center, as appropriate, any intelligence information that may warrant the issuance of a special warning.
- (2) ~~(C)~~ NMIC provide for lateral/interdepartmental dissemination of information or intelligence pertaining to actual or potential threats against maritime activities worldwide to Department of State, DMAHC and other interested agencies.

f. Director, Defense Mapping Agency

- (1) ~~(C)~~ Insure that the Defense Mapping Agency Hydrographic Center (DMAHC) expeditiously disseminates for broadcast the special warning messages prepared or approved by the Department of State.
- (2) ~~(C)~~ Insure that DMAHC distributes copies of special warnings to interested agencies.
- (3) ~~(C)~~ Maintain liaison and provide the Maritime Administration status of acknowledgement messages generated by the special warning.

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- (4) ~~(C)~~ Maintain current telegraphic and mailing addresses of owners/operators of US flag merchant vessels engaged in foreign commerce.
- (5) ~~(C)~~ Continuously review Notice to Mariners procedures to improve ways and means of expeditiously notifying US flag merchant vessels of incidents which threaten the safety of US mariners.

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